



ACI
American Conference Institute
Business Information in a Global Context

This year marks 30 years since the inception of C5 Group.
It is time to match our brand with the dynamic strides we have made.
See inside for details...

May 24–25, 2016 | InterContinental Hotel | São Paulo, Brazil

EARN CLE/CPE CREDITS

6th Brazil Summit on ANTI-CORRUPTION

Portuguese-English
Simultaneous TRANSLATION
AVAILABLE during THE EVENT!

Tradução Simultânea
Português-Ingês Disponível

BENEFIT FROM EXCEPTIONAL BENCHMARKING WITH SENIOR EXECUTIVES FROM LEADING COMPANIES:

- BD
- BRF
- Grupo LatAm Airlines
- Oracle
- Diebold
- Samsung
- Siemens
- CVS
- Alcon
- Jabil Circuits
- Johnson & Johnson
- Albert Einstein
- Whirlpool
- Embraer S.A.
- Google
- SNC-Lavalin
- Baker Hughes
- GPA
- Jones Lang LaSalle
- Braskem
- BG Group
- Odebrecht Transport
- Microsoft

SPECIAL PRESENTATIONS:



Carlos Fernando dos Santos Lima
Prosecutor – Operation Car Wash Task Force
Ministério Público



Minister Carlos Higino Ribeiro de Alencar
CGU – Office of the Controller General



Vinicius de Carvalho
President
CADE – Brazilian Anti-Trust Authority



Marcelo Zenkner
Controller General
Government of Espírito Santo



Jamil Chade
Foreign Correspondent
Estado de Sao Paulo (Switzerland)
Author of *Politics, Bribes and Football*

Special, Enhanced Focus On Brazil's High-Profile Investigations, Regulation & Enforcement

- Brazil Clean Company Act, One Year Later: Coordination and Implementation of the Act
- Status Update on "Operation Car Wash"
- New Provisional Measure on Leniency Agreements: How Changes in the Rules will Affect Cooperation with Brazilian Authorities?
- CADE's New Compliance Guide and How Brazilian Competition and Anti-Corruption Rules Converge and Differ

Latest Strategies on How to Address Brazilian-Specific Compliance Challenges and Risks:

- **Compliance on a Budget** — Leveraging Resources and Technology Amid Economic Downturn: How to Innovate and Get the Most Value for Money
- **GC Panel:** Brazilian Executives Discuss Evolution of Compliance in Brazil, Incorporating Corporate Governance and Interacting with Board of Directors & Audit Committees
- **Sports and Corruption:** Top 10 Strategies to Minimize Pitfalls
- **Interactive Scenarios on Third Party Risks:** How to Deal with Transparency of Ownership Structures, Fictitious Vendors and Invoices, Payments, Lack of Data and Other Risk Factors?

PLUS! Do NOT Miss Discussion on the Latest US Department of Justice Announcements & Why it Matters for Companies in Brazil!

- US DOJ Updates and How it Will Affect Operations in Brazil: What to Expect of the New Compliance Counsel and Yates Memo and US-Brazilian Investigation and Enforcement Cooperation

Principal Sponsor



Supporting Sponsors

MAYER·BROWN
TAUL & CHEQUER

Steptoe
STEPTOE & JOHNSON LLP

WHITE & CASE

Sponsored By



Register Now | +1-416-926-8200 (USA) or +44 20 7878 6888 (EU)
FCPAconference.com/Brazil

a C5 Group Company
Business Information in a Global Context

Attend the Premier Anti-Corruption Compliance Event in Brazil!

Brazil's on-going high-profile embezzlement/bribery investigations and executive arrests will affect more companies doing business in Brazil than ever before. Daily domestic and international headlines illustrate the enormous pressure and increased scrutiny regulators and authorities are under to tackle the endemic corruption in all layers of Brazilian society. New compliance guidelines from CGU and CADE and the recent presidential decree on leniency agreements are just a few of the recent changes in the fast-evolving Brazilian anti-corruption landscape.

In addition, while economic challenges can present excellent opportunities for potential investors looking to acquire assets in Brazil, they have also increased pressure on companies to sustain growth. As the risk profile of companies operating in Brazil continues to change, companies need to be mindful and re-evaluate the efficacy of their controls and compliance function in light of a rapidly changing and tightening Brazilian enforcement environment.

How should compliance professionals working in Brazil prepare for an uncertain and evolving regulatory environment?

Join your peers for what is regarded as "the Premier Anti-Corruption Compliance Event in Brazil" and benefit from unparalleled networking, benchmarking and business development opportunities. Now in its **6th successful year**, **ACI's annual Brazil Summit on Anti-Corruption and Compliance** will provide advanced and practical insights for companies to fine-tune a comprehensive anti-corruption compliance program that is tailored to address the local challenges that companies are faced with in Brazil.

This two day conference, developed through in-depth research and designed for decision-makers in the fields of anti-corruption, compliance and risk management, provides attendees with the ideal forum for networking, sharing experience of cutting-edge risk mitigation strategies and benchmarking compliance programs. Join senior decision-makers from government, industry, finance and law as they discuss the future of compliance and risk management in Brazil, including:

- Practical Strategies to Conduct an Effective Internal Investigation — How to Ensure Independence and Avoid Conflicting Interests, Perceptions of Interference and Favoritism?
- WhistleBlower Protocol: How to Balance Incentives for "Speaking Up" and Manage Increasing Number of Whistleblower Reports
- Closing the Deal: Identifying Risks and Key Anti-Corruption Considerations for Mergers & Acquisitions in Brazil in the First 180 Days
- Case Studies: How to Leverage the Internal Audit Function to Better Detect Suspicious Transactions and Monitor Money Laundering, Fraud and Corruption Risks?

THIS CONFERENCE SELLS FAST!

Register for this exclusive event today by calling **+44 20 7878 6888** in Europe or **+1 416 926 8200** in the USA; or register online at: www.FCPAconference.com/Brazil.

WHO YOU WILL MEET

- ✓ Chief Compliance Officers
- ✓ Vice Presidents, Directors and Managers:
 - Latin America Compliance
 - Brazil Compliance Officers
 - Ethics and Global Compliance
 - Business Conduct and Investigations
 - Internal and Corporate Audit
 - Corporate Responsibility
 - Regulatory and Government Affairs
- ✓ General Counsel
- ✓ Compliance and Litigations Counsel
- ✓ International Trade Counsel
- ✓ Senior Compliance Officers
- ✓ Ethics Officers
- ✓ Controllers
- ✓ International Contract Managers
- ✓ Outside Counsel specializing in:
 - International Trade
 - Import/Export Compliance
 - Corporate Compliance
 - White Collar Crime
 - Dispute Resolution
 - Internal Investigations
- ✓ Forensic Auditors
- ✓ Consultancies

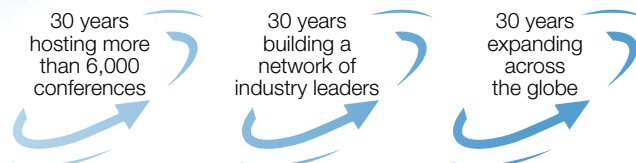
CLE AND CPE CREDITS AVAILABLE

ACI has a dedicated and expert team of CLE and CPE expeditor, which means you will get hassle free credits for attending our event. We are the only organization with dedicated staff to provide this important service.

Media and Association Partners



This year marks 30 years since the inception of C5 Group.



It is time for a brand, logo and language in keeping with the dynamic strides we have made as a company. It is time for a brand that will take us forward for the next 30 years.

C5 Group, comprising American Conference Institute, The Canadian Institute and C5 in Europe, will unite under one central brand image, appropriately a globe. See how bringing together the power of people and the power of information can accelerate your growth and success.

Our new brand look and language will be fully revealed soon. Stay tuned for more exciting changes.

Join the Conversation @ACI_AntiCorrupt #ACIFCPA

Anti-Corruption/FCPA

AGENDA RESUMIDA

Para mais informações em português favor entrar em contato com :
Lucas Alexandre (L.Alexandre@americanconference.com)

Workshop pré-conferência \ 23 de maio de 2016

A

09:00–12:30 (Inscrições começam às 08:30)

Os elementos centrais de um programa de compliance eficaz no Brasil baseado em diretrizes da CGU e do CADE: Como identificar os maiores riscos e adaptar seu programa de compliance

B

12:30–17:00 (Inscrições começam às 13:00)

Guia prático para localizar e administrar um programa estratificado de due diligence baseado em riscos de terceiros no Brasil: Como obter informações confiáveis no nível municipal, estadual e federal

Conferência Principal – Primeiro Dia 24 de maio de 2016

- 07:45 Inscrição e café da manhã
- 08:45 Discursos de abertura dos copresidentes da conferência
- 09:00 Keynote: A História da “Operação Lava Jato” Continua
- 09:45 Regulamentação e fiscalização da Lei da Empresa Limpa – Um ano depois: Empresas, autoridades e profissionais discutem a coordenação e implementação da Lei
- 10:45 Intervalo
- 11:00 Compliance com um orçamento limitado – Utilização de recursos e tecnologia face a uma economia desfavorável: como inovar e obter o mesmo valor pelo mesmo custo
- 12:00 Estratégias práticas para realizar uma investigação interna eficaz – Como assegurar a independência e evitar conflitos de interesses e percepções de interferência e favoritismo
- 13:00 Almoço interativo para participantes e palestrantes
- 14:15 Nova Medida Provisória sobre acordos de leniência: como as alterações das regras afetarão a cooperação com a infinidade de autoridades brasileiras?
- 15:00 Painel de diretores jurídicos: “O que lhe dá insônia?” Executivos de multinacionais brasileiras discutem a evolução do compliance no Brasil, a integração da governança corporativa e a interação com Conselhos de Administração e Comitês de Auditoria
- 16:00 Intervalo
- 16:15 Entrevista especial: Presidente do CADE discute o novo Guia de Compliance do CADE e como as regras brasileiras de competição e combate à corrupção convergem ou divergem
- 17:00 Esportes e corrupção: As dez estratégias principais para minimizar os riscos em patrocínio, doações, marketing e entretenimento durante as Olimpíadas e outros grandes eventos públicos
- 17:45 Coquetel de networking
- 19:00 Fim do primeiro dia

Conferência Principal – Segundo Dia 25 de maio de 2016

- 09:00 Comentários dos copresidentes
- 09:05 Keynote Especial – CGU
- 09:45 Cenários interativos de riscos de terceiros: Como lidar com terceiros, transparência das estruturas de controle, fornecedores e faturas fictícias, falta de dados de pagamentos e outros fatores de risco
- 10:45 Intervalo para networking
- 11:00 Protocolo para denunciante: Como equilibrar incentivos para denunciante e administrar o aumento da quantidade de denúncias
- 12:00 Fechamento de negócios: Como identificar os riscos e as principais considerações de combate à corrupção em fusões e aquisições no Brasil nos primeiros 180 dias
- 13:00 Almoço interativo para participantes e palestrantes
- 14:00 Palestra especial: Novidades do Departamento de Justiça dos EUA: Detalhes da função do novo Assessor de Compliance dos EUA, o impacto do memorando Yates e a cooperação entre o Brasil e os EUA em investigações e ações policiais
- 14:45 Responsabilidade civil de executivos e alerta de riscos da diretoria: Uma análise de ações penais recentes contra executivos e como reduzir a exposição à responsabilidade civil individual
- 15:30 Intervalo para networking
- 15:45 Resenha do ano no combate à corrupção na América Latina: Novidades práticas sobre a Argentina pós-eleição, a nova lei de combate à corrupção na Colômbia e outros acontecimentos na região
- 16:30 Estudos de casos: Como utilizar a função de auditoria interna para melhorar a detecção de transações suspeitas e monitorar o risco de lavagem de dinheiro, fraude e corrupção?
- 17:15 Utilização de incentivos em vez de punições: Utilização de métricas, métodos positivos e um currículo de treinamento para assegurar a adesão dos funcionários e incentivar o compliance
- 18:00 Discursos de encerramento dos copresidentes

Pre-Conference Workshops May 23, 2016

9:00–12:30 (Registration Begins at 8:30 am)

A The Core Elements of An Effective Brazilian Compliance Program Based on CGU and CADE Guidelines: How to Identify Greatest Risks and Tailor your Compliance Program Accordingly

Rafael Mendes Gomes
Partner
Chediak Advogados (Brazil)

Marilia Zulini
Compliance Manager
Grupo Pão de Açúcar (Brazil)

Do you need to better understand the new compliance guidelines issued by CGU and CADE as they related to the Brazil Clean Company Act and the elements that create liability under its provision? This interactive and practical session will focus on the “nuts and bolts” of what makes a distinct Brazilian compliance program. How should companies fine-tune existing global policies to adhere to evolving local requirements? Take advantage of this unique opportunity to have your specific questions answered and to find out how companies are fine-tuning their compliance program to adhere to the new guidelines. Topics to be covered will include:

- What are the key elements and pillars highlighted in the CGU and CADE guidelines that should be addressed in a Brazilian compliance program?
- Do the Brazilian guidelines significantly differ from the FCPA guidelines? How to weave in Brazilian requirements into your global anti-corruption program?
- How to ensure that the compliance program is culturally nuanced and sensitive
- How to create a code of conduct that matters?
- How to review and enhance compliance policies to meet requirements by multiple authorities in Brazil and be mindful of a tightening enforcement environment
- How to properly audit compliance and implement a continuous monitoring program?
- Update on technology platforms to further compliance effectiveness
- How to make the best use of outside counsel and advisors in designing your Brazilian compliance program?

13:30–17:00 (Registration Begins at 13:00)

B Practical Guide to Localizing and Managing a Tiered Risk-Based Third Party Due Diligence Program in Brazil: How to Acquire Reliable Information at the Municipal, State and Federal Level

Luciano Malara
Partner
Carvalho, Machado, Timm, e Luz Advogados (Brazil)

Failure to uncover corruption problems with business partners can lead to disastrous outcomes once the relationship is cemented. Posing a myriad of challenges for companies doing business in Brazil, it is imperative for companies to minimize their risk exposure. During this engaging and hands-on interactive working group, attendees will be provided with concrete tools and tactics ensuring third-party due diligence compliance. Attendees will receive nuts-and-bolts guidance on how to create a cost-effective and credible risk based third party vetting programs with minimal administrative and financial burden. Learn about risk factors, weighting and legally obtainable information that will ensure consistency throughout the enterprise. Topics include:

- How to utilize due diligence based on the level of risk and interaction with government officials at all levels federal, state and municipal?
- Implementing a centralized vs. decentralized third party program
- How far do you screen and how deep do you need to dig?
How to acquire reliable information at the national and local level
- Looking at risks for third parties operating in municipalities with high communal inter-linkages
- Credibly defining scope of due diligence required for each third party based on review of facts and circumstances
- Best use of background and reputation checks?
- Generating anti-corruption due diligence reports and analyzing findings
- Applying real-world case studies to internal programs

Main Conference Day One \ May 24, 2016

07:45 Registration and Continental Breakfast

08:45 Conference Co-Chair's Opening Remarks

Roberta Pegas
General Counsel & Compliance Officer
South America
Schneider Electric (Brazil)

Sergio Pinto
Regional Legal Director – Health Care
Compliance and Privacy Latin America
Johnson & Johnson (Brazil)

09:00 Keynote Presentation: “Operation Car Wash” the Story Continues

Carlos Fernando dos Santos Lima
Prosecutor – Operation Car Wash Task Force
Ministério Público (Brazil)

09:45 Regulation and Enforcement of the Brazil Clean Company Act – One Year Later: Companies, Authorities and Practitioners Discuss Coordination and Implementation of the Act

Marcelo Zenkner
Controller General
Government of Espirito Santo (Brazil)

Antonio Carlos Vasconcellos Nóbrega
General Coordinator – Private Sector Liability
Office of the Controller General CGU (Brazil)

Alessandra Del Debbio
Assistant General Counsel
Microsoft (Brazil)

Carlos Ayres – Panel Moderator
Partner
Trench Rossi E Watanabe Advogados

- How is the Brazil Clean Company Act being implemented and enforced at the Federal, State and Municipal level? Are there a few states that are more advanced in the implementation and enforcement than others?
- Is there a timeline for all States to regulate the Brazil Clean Company Act? How will the Federal government ensure coherent enforcement outcome at the Municipal, State and Federal level?
- How is coordination and enforcement with federal authorities being conducted in practice? Are there any initial enforcement actions that indicate how authorities will be coordinating?
- Will States and Municipalities be applying and imposing penalties and sanctions? Are they negotiating leniency agreements?

10:45 Refreshment Break

11:00 Compliance on a Budget – Leveraging Resources and Technology Amid Economic Downturn: How to Innovate and Get the Most Value for Money

Denis Jacob
Director, Global Commercial Compliance
BD (USA)

Karlis Novickis
Senior Manager – Compliance & Ethics –
Latin America
Whirlpool (Brazil)

Reynaldo Goto
Director – Compliance Officer
Siemens (Brazil)

Leonardo Lopes – Panel Moderator
Director, Forensic Services Practice
PwC (Brazil)

- What resources and structures are necessary in the current Brazilian enforcement landscape and how to allocate available resources based on business model, business sector and other factors?
- How to demonstrate that a strong compliance program is a competitive advantage if there are increased pressure and budget cuts?
- Exploring cost-saving options including successful condensed compliance programs for small and medium sized companies
- How to leverage technology platforms inform decisions and manage risk
- How to balance increasing oversight responsibilities while ensuring that the compliance team is perceived as an integral part of the growth of the business

12:00 Practical Strategies to Conduct an Effective Internal Investigation – How to Ensure Independence and Avoid Conflicting Interests, Perceptions of Interference and Favoritism

Gustavo Biagioli
Legal & Compliance Director
Jones Lang LaSalle (Brazil)

Alexandre Serpa
Director – Business Compliance Officer
CVS (Brazil)

Flavia Ribas
Global Compliance Director
BRF (Brazil)

Daniel Fridman – Panel Moderator
Partner
White & Case (USA)

- How to assemble the team, determine the scope and engage different departments while ensuring that there is independence in the process
- What should be the role of the compliance officer in an internal investigation?
- Cultural considerations and practical interview techniques to ensure you get the information you are seeking in Brazil
- How to deal with inconclusive findings and avoid any perceptions of “favoritism and/or interference”
- How to communicate with the Brazilian authorities regarding a potential violation? What protections will the acordo de leniência provide the company?

13:00 Interactive Networking Luncheon for Attendees and Speakers

14:15 **New Provisional Measure on Leniency Agreements: How Changes in the Rules Will Affect Cooperation with the Myriad of Brazilian Authorities?**

Fabio Medina Osorio

Partner
Medina Osorio Advogados (Brazil)
Former Brazilian Federal Prosecutor

Adriana Dantas

Partner
BMA – Barbosa, Musnich, Aragão (Brazil)

- Overview of the recent presidential decree on Leniency Agreements as well as the critical changes in the rules to incentivize investigation into alleged wrongdoing and cooperation with Brazilian authorities
- How do the new rules protect companies and executives?
- Will different leniency agreements be negotiated at the Federal, State and Municipal levels? Do the same rules apply for all of the Brazilian authorities or will companies need to negotiate with each authority individually?
- If cooperation is struck with Brazilian authorities, to what extent do companies need to do a similar plea bargain with foreign jurisdictions?

15:00 **GC Panel: “What Keeps You Up At Night?” Brazilian Multinational Executives Discuss the Evolution of Compliance in Brazil, Incorporating Corporate Governance and Interacting with Board of Directors & Audit Committees**

Terena Penteadro Rodrigues

Executive Vice-President and General Counsel
Embraer S.A. Brazil (Brazil)

Olga Pontes

Global Head of Compliance, Internal Audit, Risk Management, Ethics Line
Braskem (Brazil)

Roberta Pegas

General Counsel & Compliance Officer
South America
Schneider Electric (Brazil)

Martin Whitehead – Panel Moderator

Partner, Forensic Services Practice
PwC (Brazil)

- What are the biggest compliance challenges and liability risks for executives and how have they evolved?
- What do executives want to know from their compliance team to ensure effective implementation of the compliance program?
- At what point and to what extent should executives involve the Board of Directors of the company in a Bribery related matter? When and how should reports of corruption be told to the Board of Directors?
- What are the legal duties of the Board and Audit committee under the Brazil Clean Company Act and how do they compare with the FCPA?
- What levels of communication between the Compliance, Board of Directors and Audit Committees are needed?

16:00 Refreshment Break

16:15 **Interview Special Address: President of CADE Weighs in on CADE’s New Compliance Guide and How Brazilian Competition and Anti-Corruption Rules Converge and Differ**

Vinicius de Carvalho
President

CADE – Brazilian Anti-Trust Authority (Brazil)

17:00 **Sports and Corruption: Top 10 Strategies to Minimize Sponsorship, Donations, Marketing and Entertainment Pitfalls During the Olympics and Other Major Public Events**

Jamil Chade

Foreign Correspondent & Former President
Foreign Press Association
Estado de Sao Paulo (Switzerland)
Author of Politics, Bribes and Football

Rogeria Gieremek

Compliance Officer
Grupo LatAm Airlines (Brazil)

Julio César Bueno – Panel Moderator

Partner
Pinheiro Neto (Brazil)

- In-depth discussion of the FIFA investigation and assessing its impact on the sports industry supply-chain?
- How to identify and address sports and major public events-related risks such as the Olympics and FIFA World Cup (e.g. public procurement, sponsorship, donations)
- How to prepare for collateral damage and contingent liability associated with crisis management?
- How to define what is reasonable and what is customary, and be mindful of Brazilian culture?
- How to respond to sponsorship and donation solicitations from customers and suppliers?
- What are best practices in gifts and entertainment policies in Brazil?

17:45 Networking Cocktail

19:00 End of Day One

Main Conference Day Two \ May 25 2016

09:00 Co-Chair’s Opening Remarks

09:05 **Special Keynote Address — CGU**

Carlos Higino Ribeiro de Alencar
Minister

CGU – Office of the Controller General (Brazil)

09:45 **Interactive Scenarios on Third Party Risks: How to Deal with Third Parties, Transparency of Ownership Structures, Fictitious Vendors and Invoices, Payments, Lack of Data and Other Risk Factors**

Felipe Kietzmann

Regional Compliance Officer
Alcon (Brazil)

Bruno Silveira

Compliance Officer
BG Group (Brazil)

Daniel Sibille

Latin America Compliance Director
Oracle (Brazil)

Mona Clayton – Panel Moderator

Partner, Forensic Services Practice
PwC (USA)

What would you do if...

- Scenario A) A third party provides certification but refuses to answer further questions or provide specific requested documentation
- Scenario B) The ownership structure is convoluted and you are unable to determine if there is a risk of a government touch-point
- Scenario C) Accounting records point to risky payments including potentially fictitious invoices and vendors

After a review of each scenario and specific challenges involved, expert speakers will present a step by step review of strategies to address each situation.

10:45 Network Break

11:00 **WhistleBlower Protocol: How to Balance Incentives for “Speaking Up” and Manage Increasing Number of Whistleblower Reports**

Kathalin Carvalho

Global Compliance Manager (Americas)
Jabil Circuits (USA)

Felipe Faria

Regional Compliance Officer Latin America
SNC-Lavalin (Brazil)

Viviane Souza Miranda

Director Audit, Risk Management and Compliance
Sociedade Beneficente Israelita Brasileira Albert Einstein (Brazil)

Thiago Jabor – Panel Moderator

Partner
Mattos Filho Veiga (Brazil)

- How to establish a culture of trust and transparency within the company and stress an anti-retaliation policy?
- How to design an internal reporting system and establish frequent communication across departments?
- How to promote open and fair communication-breaking down the hierarchy, rewarding transparency
- How to manage an increasing number of claims to ensure a proper and timely response
- How to differentiate and manage a response to a claim vs. an “anonymous reporting”

12:00 **Closing the Deal: Identifying Risks and Key Anti-Corruption Considerations for Mergers & Acquisitions in Brazil in the First 180 Days**

Maria Cecilia Andrade

Compliance Officer
Odebrecht Transport (Brazil)

Maria Leonor Rios

Ethics & Compliance Director Latin America
Diebold (Brazil)

Kelly Kramer

Partner
Mayer Brown (USA)

Sergio Pinto

Regional Legal Director – Health Care Compliance and Privacy Latin America
Johnson & Johnson (Brazil)

Lucinda A. Low – Panel Moderator

Partner
Stephens & Johnson LLP (USA)

- How to analyze the impact of the deal on liability in Brazil and abroad for both parties to a merger?
- Determining your or your client's risk tolerance at the due diligence stage: Deciding how far to go in conducting due diligence
- How to set contingency plans early and identify Brazil-specific domestic risks
- Determine how due diligence findings can affect the overall transaction
- How do the Brazilian authorities and US DoJ authorities view voluntarily disclosing a targets wrongdoing and taking action to address it?
- How to ensure a successful integration and remediation post-closing?

13:00 Interactive Luncheon for Attendees and Speakers

14:00 **FCPA and US DOJ Update: Inside the Role of the New US Compliance Counsel, Impact of the Yates Memo and US-Brazilian Investigation and Enforcement Cooperation**

- What are current FBI, SEC, and US DoJ enforcement priorities and targets?
- What are the implications of increased FCPA scrutiny and activity in Latin America?
- How will the DoJ published Yates memo impact individual prosecutions?
- What is the level of cooperation and information-sharing between US and Brazilian enforcement authorities?

14:45 **Executive Liability & C-Suite Risk Alert: Analysis of Recent Executive Criminal Prosecution Cases and How to Lessen Exposure to Individual Liability**

Pierpaolo Cruz Bottini
Partner
Bottini and Tamasauskas Advogados (Brazil)

Giovanni Falcetta
Partner
Tozzini Freire Advogados (Brazil)

- What are the individual liability risks to C-suite and Compliance officers for a violation under the Brazil Clean Company Act?
- How has the development of the "delação premiada" impacted individual prosecutions? How are rewards determined for cooperation?
- How should individuals cooperate with authorities to avoid prison time?
- What internal controls can companies implement to lessen compliance officer exposure
- Steps that compliance officers and C-suite executives can take to avoid involvement in corrupt activities

15:30 Refreshment Break

15:45 **Latin America Anti-Corruption Year in Review: Practical Updates on Argentina Post-Election, Colombia's New Anti-Corruption Statute and Other Regional Developments**

Ramiro Cabrero
Regional Legal & Compliance Director
Baker Hughes (Argentina)

Hugo Lopez Coll
Partner
Greenberg Traurig (Mexico)

- Tackling stock of Argentina's anti-corruption landscape post-election
- How are the new Colombian anti-corruption statute, whistleblower legislation and OECD bid affecting the enforcement environment?
- What are the expectations surrounding Mexico's anti-corruption secondary laws, and how will compliance programs need to fine-tune their controls to comply with new regulations?
- How does Colombian, Argentinian and Mexican legislation compare with Brazilian anti-corruption legislation, and what are the implications of evolving regulations for companies that must comply with multiple Latin American jurisdictions?

16:30 **Case Studies: How to Leverage the Internal Audit Function to Better Detect Suspicious Transactions and Monitor Money Laundering, Fraud and Corruption Risks?**

Camila Von Ancken
Regional Compliance Counsel Latin America
Google (Brazil)

Michael Garcia
Partner
White & Case LLP (USA)

- How to leverage the audit function to flag and prevent improper payments and fraud
- What are best practices to ensure that books and records are maintained in "reasonable detail"
- How to closely review suspicious financial transactions and money laundering pitfalls (e.g. underground FX trade)
- How to create a viable process to audit third party books and records and ensure compliance?
- How to document cash payments, credit arrangements and petty cash disbursements?
- How to use your system to enhance controls and provide information in compliance sensitive areas

17:15 **Using Carrots Instead of Sticks: Applying Metrics, Positive Methods and a Training Curriculum to Ensure Employee Buy-In and Incentivize Compliance**

Glauca Piccolo Rosalen
Compliance Lead
Microsoft (Brazil)

Pyter Stradioto
Latin America Compliance Director
Samsung (Brazil)

- How to measure the performance and effectiveness of a compliance program?
- Which metrics have produced the best results for companies (e.g. analysis of internal audit findings, completion of annual and new hire compliance training, volumes of calls to hotlines)?
- What are potentially positive and effective methods to incentivize compliance? Is a Compliance Champion's Program an effective policy? How do you implement it?
- Should compliance objectives be included in performance review and linked to bonus? What are other incentives beyond financial rewards?
- Compare and contrast training methodologies that have produced employee buy-in and produced the best results for companies operating in Brazil

18:00 **Co-Chairs Closing Remarks**

CONTINUING LEGAL EDUCATION CREDITS



Accreditation will be sought in those jurisdictions requested by the registrants which have continuing education requirements. This course is identified as nontransitional for the purposes of CLE accreditation.

ACI certifies that the activity has been approved for CLE credit by the New York State Continuing Legal Education Board.

ACI certifies that this activity has been approved for CLE credit by the State Bar of California.

You are required to bring your state bar number to complete the appropriate state forms during the conference. CLE credits are processed in 4-8 weeks after a conference is held.

ACI has a dedicated team which processes requests for state approval. Please note that event accreditation varies by state and **ACI** will make every effort to process your request.

Questions about CLE credits for your state? Visit our online CLE Help Center at www.AmericanConference.com/CLE

CONTINUING PROFESSIONAL EDUCATION CREDITS



American Conference Institute (ACI) will apply for Continuing Professional Education credits for all conference attendees who request credit. There are no pre-requisites and advance preparation is not required to attend this conference.

Course objective: Update on anti-corruption policies and procedures to prevent inappropriate payments and business conduct. Prerequisite: None. Level of knowledge: Beginner/Intermediate. Teaching Method: Group-Live. Advanced Preparation: None. Delivery method: Group Live.

Please refer to the information in this brochure for outline, course content and objectives. Final approval of a course for CPE credits belongs with each states' regulatory board. Recommended CPE Credit: 14.5 hours for the main conference and an additional 4.0 hours for each workshop.

ACI is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville, TN, 37219-2417 or by visiting the web site: www.learningmarket.org

To request credit, please check the appropriate box on the Registration form.

GLOBAL SPONSORSHIP OPPORTUNITIES

With more than 300 conferences in the United States, Europe, Asia Pacific, and Latin America, **American Conference Institute (ACI)** provides a diverse portfolio devoted to providing business intelligence to senior decision makers who need to respond to challenges spanning various industries in the US and around the world.

We work closely with your organization to create the perfect business development solution catered exclusively to the needs of your practice group, business line or corporation.

For more information about this program or our global portfolio of events, please contact:

Wendy Tyler
Director of Sales, American Conference Institute
Tel: 212-352-3220 x5242
w.tyler@AmericanConference.com

PRINCIPAL SPONSOR



PwC's Forensic Services team of experienced professionals is dedicated to meeting the challenges caused by fraud allegations, financial crimes and other irregularities. Our PwC Forensic Services portfolio of services includes: Financial Crime Examinations, Forensic Technology Solutions, Regulatory Compliance Reviews, Fraud Risk Management and Fraud Prevention, Dispute Analysis and Litigation Support. For more information visit www.pwc.com/us/forensics.

Here is What Your Peers Had to Say About ACI's Previous Brazil Summits:



Excellent Event! Great Job from Organizers
Ceva Logistics

Excellent Topics! Good Speakers
Gerdau

Top-Notch Content, Very Useful, Not a Moment Wasted. High Caliber Event
Jones Day



MISSED A CONFERENCE? ORDER THE CONFERENCE MATERIALS NOW!

If you missed the chance to attend an ACI event, you can still benefit from the conference presentation materials.

To order the Conference Materials, please call +1-888-224-2480 or visit: www.americanconference.com/conference_papers

© American Conference Institute, 2016

1 Choose your Registration Method



PHONE:
+1-416-926-8200 (USA) or +44 20 7878 6888 (EU)



ONLINE:
www.FCPAconference.com/Brazil



EMAIL:
CustomerService@AmericanConference.com



FAX:
1-416-927-1563



MAIL:
American Conference Institute
45 West 25th Street, 11th Floor
New York, NY 10010

2 Select your Level of Engagement

	Register & Pay by April 15, 2016	Register & Pay by May 6, 2016	Register & Pay after May 6, 2016
FEE PER BRAZIL DELEGATE			
<input type="checkbox"/> Conference	1395 USD	1495 USD	1695 USD
<input type="checkbox"/> Conference + 1 Workshop <input type="checkbox"/> A or <input type="checkbox"/> B	1795 USD	1895 USD	2095 USD
<input type="checkbox"/> ELITEPASS*: Conference + Both Workshops	2095 USD	2195 USD	2395 USD
FEE PER INTERNATIONAL DELEGATE			
<input type="checkbox"/> Conference	1995 USD	2095 USD	2295 USD
<input type="checkbox"/> Conference + 1 Workshop <input type="checkbox"/> A or <input type="checkbox"/> B	2595 USD	2695 USD	2895 USD
<input type="checkbox"/> ELITEPASS*: Conference + Both Workshops	3195 USD	3295 USD	3495 USD
<i>All conference participants will receive an online link to access the conference materials as part of their registration fee.</i>			
<input type="checkbox"/> Please reserve ___ additional copies of the Conference Materials at \$199 per copy.			

*ELITEPASS is recommended for maximum learning and networking value.

Bringing a Team?	
3 – 4	10% Conference Discount
5 – 6	15% Conference Discount
7	20% Conference Discount
7 or more	Call 1-416-926-8200

Special Discount

ACI offers financial scholarships for government employees, judges, law students, non-profit entities and others. For more information, please email or call customer service.

Fee Includes

The program, all program materials, refreshment breaks and lunches.

Terms and Conditions

Payment Policy

Payment must be received in full by the program date to ensure admittance. All discounts will be applied to the Program Only fee (excluding add-ons), cannot be combined with any other offer, and must be paid in full at time of order. Group discounts available to 3 or more individuals employed by the same organization, who register at the same time.

Delegate Substitutions and Cancellations

You must notify us by email at least 48 hrs in advance of the conference if you wish to send a substitute participant. If you are unable to find a substitute, please notify us in writing no later than 10 days prior to the conference date. All cancellations received will be subject to a cancellation fee of \$350. Delegates opting to receive a credit voucher will receive a credit for the full amount paid, redeemable against any other American Conference Institute conference in the next 12 months.

No credits or refunds will be given for cancellations received within 10 days of the conference start date. Delegates may not "share" a pass between multiple attendees without prior authorization. No liability is assumed by American Conference Institute for changes in program date, content, speakers or venue. American Conference Institute reserves the right to cancel any conference it deems necessary and will, in such event, make a full refund of any registration fee, but will not be responsible for airfare, hotel or other costs incurred by registrants.

3 Fill in your Profile

Conference Code		976L16-SPO
SALUTATION	NAME	
JOB TITLE	ORGANIZATION	
ADDRESS	CITY	
STATE/PROVINCE	ZIP CODE	COUNTRY
FAX	EMAIL	
TYPE OF BUSINESS	NO. OF EMPLOYEES	
APPROVING MANAGER	JOB TITLE	
<input type="checkbox"/> I would like to receive CLE accreditation for the following states: _____ See CLE details inside.		
<input type="checkbox"/> I would like to receive CPE accreditation for the following states: _____ See CPE details inside.		

4 Complete Payment Details

Please charge my VISA MasterCard AMEX Discover Card Please invoice me

NUMBER _____ EXP. DATE _____

CARDHOLDER _____

I have enclosed my cheque for \$ _____ including applicable taxes made payable to **American Conference Institute** (T.I.N.—98-0116207)

ACH PAYMENT (\$USD)

Please quote the name of the attendee(s) and the event code 976L16 as a reference.
USD Currency Account for International Delegates:
Beneficiary Name: American Conference Institute
Beneficiary Bank Name & Address: HSBC Bank plc,
60 Queen Victoria Street, London EC4N 4TR, UK
BIC (Bank Identifier Code): MIDLGB22
IBAN: GB52MIDL40051570315898
Sort Code: 40 05 15
Non-US residents please contact Customer Service for Wire Payment Information

5 Accept the Terms and Conditions to Register

I confirm I have read and understood the terms and conditions of registering for this event

Venue Information at a Glance

Venue: InterContinental São Paulo
Address: Alameda Santos, 1123, São Paulo, SP - 01419-001 Brasil
TEL.: +55-11-31792600
Online: tinyurl.com/acibrazil16

Book your Accommodation

American Conference Institute is pleased to offer our delegates a limited number of hotel rooms at a preferential rate. Please contact the hotel directly and mention the "Anti-Corruption Brazil" conference to receive this rate.

May 24–25, 2016 | InterContinental Hotel | São Paulo, Brazil



6th Brazil Summit on ANTI-CORRUPTION

American Conference Institute
45 West 25th Street, 11th Floor
New York, NY 10010

Attention Mailroom

If undeliverable to addressee, please forward to:
International Trade Counsel, Ethics & Compliance Officer, General Counsel, Internal Audit, Chief Compliance Officer

Incorrect Mailing Information

If you would like us to change any of your details, please email data@americanconference.com or fax the label on this brochure to **1-416-927-1563**.

REGISTRATION CODE



S10-976-976L16.S



ACI

American Conference Institute
Business Information in a Global Context

This year marks 30 years since the inception of C5 Group. It is time to match our brand with the dynamic strides we have made. *See inside for details...*

May 24–25, 2016 | InterContinental Hotel | São Paulo, Brazil

6th Brazil Summit on ANTI-CORRUPTION

Portuguese-English
Simultaneous TRANSLATION
AVAILABLE during THE EVENT!

Tradução Simultânea
Português-Ingês Disponível

SPECIAL PRESENTATIONS:



Carlos Fernando dos Santos Lima
Prosecutor – Operation Car Wash Task Force
Ministério Público



Minister Carlos Higino Ribeiro de Alencar
CGU – Office of the Controller General



Vinicius de Carvalho
President
CADE – Brazilian Anti-Trust Authority



Marcelo Zenkner
Controller General
Government of Espírito Santo



Jamil Chade
Foreign Correspondent
Estado de Sao Paulo (Switzerland)
Author of Politics, Bribes and Football